



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : B01D 45/08, 45/16, G01N 1/24		A1	(11) International Publication Number: WO 98/58725 (43) International Publication Date: 30 December 1998 (30.12.98)
(21) International Application Number: PCT/US98/12471		(81) Designated States: CA, IL, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 16 June 1998 (16.06.98)		Published <i>With international search report.</i>	
(30) Priority Data: 08/880,355 23 June 1997 (23.06.97) US			
<p>(71) Applicants: CORONA CATALYSIS CORPORATION [US/US]; 3200 George Washington Way, Richland, WA 99352 (US). BATTELLE MEMORIAL INSTITUTE [US/US]; Pacific Northwest Division, Intellectual Property Services, P.O. Box 999, Richland, WA 99352 (US).</p> <p>(72) Inventors: IRVING, Patricia, M.; 5050 South Olympia Street, Kennewick, WA 99337 (US). BIRMINGHAM, Joseph, G.; 2500 George Washington Way, Richland, WA 99352 (US). SU, Yin-Fong; 2218 Rosewood Court, Richland, WA 99352 (US). CALL, Charles, J.; 3807 Meadow View Court, Pasco, WA 99301 (US). LERNER, Bruce, D.; 403 Poplar Grove Place, Bel Air, MD 21014 (US). WEGENG, Robert, S.; 2603 Harris Avenue, Richland, WA 99352 (US).</p> <p>(74) Agents: SAKOI, Jeffrey, M.; Christensen, O'Connor, Johnson & Kindness PLLC, Suite 2800, 1420 Fifth Avenue, Seattle, WA 98101 (US) et al.</p>			
<p>(54) Title: APPARATUS FOR SEPARATION AND CONCENTRATION OF PARTICLES FROM A FLUID STREAM</p> <p>(57) Abstract</p> <p>A micro-machined virtual impactor device to permit the separation, collection and concentration of a significant portion of environmental particulates having a diameter of less than ten microns. The device comprises a plurality of projection (12), each having a virtual impact surface that defines an aperture (30) in a stagnant zone of fluid flow. A major portion of the particulate material is concentrated into a minor portion of the fluid which is processed through the aperture, where it is collected for subsequent analysis.</p>			

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Eswatini
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroun	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	KZ	Kazakhstan	RU	Russian Federation		
CZ	Czech Republic	LC	Saint Lucia	SD	Sudan		
DE	Germany	LI	Liechtenstein	SE	Sweden		
DK	Denmark	LK	Sri Lanka	SG	Singapore		
EE	Estonia	LR	Liberia				

APPARATUS FOR SEPARATION AND CONCENTRATION OF PARTICLES FROM A FLUID STREAM

Field of the Invention

This invention was made with Government support under Contract DAAM01-97-M-0006 awarded by the U.S. Department of Defense. The Government has
5 certain rights in this invention.

Background of the Invention

The capture of particulate matter or aerosols in air or other fluid streams is of concern in two entirely different contexts: first, as an analytical tool to determine the type and concentration of such particulate/aerosol material, and secondly as a method
10 of cleansing the fluid stream for subsequent use. Additionally, there are occasions in either case where classification of particulate matter by size is desired. For example, the detection of airborne biological or chemical warfare agents, the detection of biological contamination in confined spaces such as aircraft or hospitals or the detection of industrial pollutants (either in ambient air or in stacks) may be required in
15 various and different scenarios.

Much effort has been expended in the past in the detection and classification of particulate matter or aerosols, generally in air or other gaseous streams. Impactors have been used for collecting aerosol particles for many decades. In the earliest embodiments, a stream of gas containing the particles was accelerated towards an
20 impactor plate. Due to their inertia, the particles hit the receptor plate and were collected there while the gas deflected to the side. Therefore, only heavy particles were collected while particles below a "cut-off threshold" size were carried away by the air stream. This concept has been refined over the years, as in U.S. Patent No. 3,901,798, wherein suspended particles in an air stream are caused to flow along

a path having one or more sharp turns. Smaller particles with less mass and inertia negotiate the turn and continue along the deflected path for detection. Larger particles with greater mass and inertia fail to negotiate the turn and continue along the original direction for separation and/or detection.

- 5 However, a significant cause of inaccuracy in such impactors results from the deposition of particles on surfaces of the impactor other than the intended collection surfaces. This phenomenon reduces the slope of the particle collection efficiency curves for the impactor stages and reduces the accuracy of measurement of total particle mass concentration and of the size-fractionation of particles since such losses
10 10 cannot be accurately estimated for aerosols having varying size, shape or chemistry. Additionally, particles may either reentrain in the airstream or bounce from the collection surface upon impact.

- 15 To remedy this problem "virtual" impactors have been developed that separate particulate matter in a fluid stream by forces other than impaction, and hence reduce the inaccuracies induced by particle deposition on the device. These devices may operate on a number of different principles, but all avoid actual "impact" and all virtual impactors rely on differences in mass to induce inertial separation. In such devices, the particulate-laden fluid stream (generally air or other gas) is directed toward a surface presenting an obstruction to the forward movement of the fluid
20 20 stream. In a laminar flow device, the particles are carried along in the air stream with the air molecules, but when the air changes direction (to avoid the obstruction), the heavier particulate matter will be separated from the main portion of the air stream. A region of relatively stagnant air (a "dead air zone") is created in the air stream, comprising a minority of the air stream, but which contains a majority of the
25 25 particulate matter. The "virtual impact surface" is formed when the air stream separates into major and minor flow streams, such that fine particles remain entrained in the deflected major portion of the air stream, while more dense particles with greater inertia remain in the stagnant air zone in the minor portion of the air stream, where they can be captured.

- 30 Because many of the prior art virtual impactors did not actually collect particles themselves, but merely redirected them into two different air streams according to their mass, they were essentially free of the problems of particle bounce and reentrainment associated with actual impact devices.

- 35 Virtual impactors have been devised in a number of embodiments. For example, U.S. Patent No. 4,670,135 discloses a plurality of virtual impactors each

- having an inlet nozzle and a receiving tube arranged on a housing or frame for parallel flow and which are utilized to obtain a high flow rate while keeping the flow rate through each individual virtual impactor at a reasonable value. Similarly, U.S. Patent No. 5,425,802 discloses a virtual impactor with a nozzle for accelerating an entering 5 airstream, particle receiving means positioned downstream from the nozzle means, and a chamber in fluid communication with the gap between the nozzle means and the receiving means.

In an effort to enrich gases with aerosol particles to certain orders of magnitude for purposes of classification, the virtual impactor of U.S. Patent 10 No. 5,533,406 comprises a generator plate with an inlet side and outlet side, with a receptor plate disposed parallel with the generator plate so as to define a space therebetween, with each having at least one nozzle in the form of a slit which is curved to form a closed loop. Classification of aerosol particles is also provided by U.S. Patent No. 3,901,798, wherein a first plate defines a first orifice, and a second 15 plate spaced from and downstream from the first plate defines a second orifice larger than and substantially concentrically disposed with respect to the first orifice. Tubular means in the plane of the second orifice defines a third orifice and an annular passage between the second orifice and tubular means.

A dichotomous virtual impactor is disclosed in U.S. Patent No. 4,767,524, 20 wherein an impaction plate is replaced by a region of stagnant air in a receiving probe. A virtual surface is formed by deflected streamlines that are similar to those in conventional solid plate impactors. The fine particles follow the streamlines of the major air flow, while the coarse particles pass into the forward minor flow region.

As is apparent from a review of the prior art, the technology of virtual 25 impactors is well known, and advances in the art are occasioned by new designs creating more efficient or more specific separations. The apparatus of the present invention accomplishes both.

Summary of the Invention

The present invention comprises an apparatus for separation and concentration 30 of particulate matter from a fluid stream. It is contemplated that the fluid stream may comprise either a gaseous or a liquid, and the particulates may be aerosols, suspended solid matter, microscopic biological material, or the like. The invention may find particular application in the environment of battlefield biological warfare agents, but may be equally useful in the detection and classification of industrial or environmental

particulates. Uses in other defense-related areas, for detection of terrorist activities, and in public health arenas are also contemplated.

The invention comprises an apparatus to direct the fluid of interest into the device, either in the form of a pump or vacuum. The collected fluid is directed through an inlet port and across a plurality of individual virtual impact components. In various embodiments, the virtual impact components present either a convex or concave obstruction to the advancing fluid stream, but in any case create a "dead" zone where particulate matter tends to settle out, while the majority of the fluid stream changes direction and flows around the obstruction. An aperture is provided such that particulate matter in the minor portion of the fluid stream, in the dead zone, are directed through the aperture and to a collection area where they are entrained in a separate fluid for eventual analysis.

10 The device directs a minor portion of the fluid stream through the apertures, but such minor fluid portion contains a major portion of the particulate matter. For example, for a given quantity of the fluid stream entering the device, 10% of the fluid stream may be directed through the apertures and to the collection area, and may contain up to 90% of the particulate matter in that given quantity of the fluid stream.

15 The apparatus of the present invention may take any one of a number of different configurations. For example, the device may comprise: (i) a fluid inlet component in the form of an air supply plenum; (ii) a separation component in the form of a plate having a plurality of projections in the form of micro-virtual impact devices machined thereon; and (iii) a collection component in the form of a fluid in which the particulate matter for analysis is entrained. When assembled, air with the particulate of interest is forced through the air supply plenum, through the separation component, where a minor portion of the air stream is directed to the fluid in the collection component, whereupon the particulates are separated and concentrated for later analysis.

20 The apparatus of the present invention is not limited to any particular structural configuration. For example, the projections may be aligned in a number of adjacent parallel rows, or they may be aligned in a spiral, or any other shape wherein fluid flow around the projections can be controlled.

25 The present invention enables the collection of greater than 80% of particulate matter having a diameter of 1 micron.

Brief Description of the Drawings

The foregoing aspects and many of the attendant advantages of this invention will become more readily appreciated as the same becomes better understood by reference to the following detailed description, when taken in conjunction with the accompanying drawings, wherein:

- 5 FIGURE 1 is a schematic representation of first embodiment of the invention;
- FIGURE 2 is a sectional view of the embodiment represented by FIGURE 1;
- FIGURE 3 is a schematic representation of the micro-machined virtual impactor devices illustrated in FIGURE 1;
- 10 FIGURE 4 is an alternative embodiment of the impactors illustrated in FIGURE 1;
- FIGURE 4a is a sectional view of the impactor of FIGURE 4 taken along line 7-7.
- FIGURE 5 is a still further embodiment of the impactors of the present
- 15 invention;
- FIGURE 6 is an exploded view of a schematic representation of a completed device of the present invention;
- FIGURE 7 is a plan view of the air inlet plate of the present invention;
- FIGURE 8 is a sectional view of the air inlet plate taken along lines 8-8 of
- 20 FIGURE 7;
- FIGURE 9 is a sectional view of the air inlet plate taken along lines 9-9 of FIGURE 7;
- FIGURE 10 is plan view of the separation plate of the present invention;
- FIGURE 11 is a section view of the separation plate taken along lines 11-11
- 25 of FIGURE 10;
- FIGURE 12 is a plan view of the particle collection plate of the present invention;
- FIGURE 13 is a sectional view of the particle collection plate taken along lines 13-13 of FIGURE 12;
- 30 FIGURE 14 is a longitudinal sectional view of the assembled device of FIGURES 7, 10 and 12;
- FIGURE 15 is a sectional view of the assembled device taken along line 15-15 of FIGURE 14;
- FIGURE 16 is an alternative embodiment of the present invention wherein the
- 35 projections are arranged in a spiral pattern;

FIGURE 17 is a specific embodiment of the projections of a prototype as set forth in the example; and

FIGURE 18 is a graph of results from actual operation of the prototype device as set forth in the example.

5 Detailed Description of the Preferred Embodiment

As used hereinafter, the following terms shall have the following definitions:

"projection"--an obstruction having a vertical component placed in a fluid stream which forms a zone of stagnant air, serving as a virtual impact surface dividing the fluid stream into major and minor components for the separation of particulate matter entrained therein, without resulting in actual impaction between the particulate matter and the projection;

"particulate matter"--any separately identifiable solid, liquid, aerosol or other component entrained in a fluid stream which has a greater mass than the fluid forming the fluid stream, and is the subject of separation and collection for analysis;

10 "fluid"--any fluid susceptible to fluid flow, which may comprise liquids, or gases, and which may entrain foreign particulate matter therein. Unless otherwise noted, "fluid" shall mean the ambient fluid containing unconcentrated particulate matter for collection, not the fluid into which the particulate matter is concentrated after collection or capture.

20 In its broadest embodiment, the present invention comprises a separation component which utilizes the concept of massive parallel processing to place a plurality of extremely small vertical projections which create a zone of stagnant air in the path of a fluid stream, each one of which is capable of separating particulate matter from the fluid stream. When constructed in accordance with the present

25 invention, the apparatus is capable of concentrating at least 80-90% of the target particulate matter into approximately 10% of the fluid stream. At the same time, air flow through the inventive apparatus can be as high as 100L/min. for a separation component having, for example, 150 micro-machined projections, which is quite surprising given the small size of the collection components individually, and the entire apparatus.

30 The apparatus of the present invention permits flexibility in design sufficient to enable construction of devices to analyze virtually any flow rate in the fluid stream, by varying the number of projections on each separation component, and the number of separation components utilized. For example, a plurality of individual projections may be arranged on each separation component, and a plurality of separation components

may be arranged in a single apparatus. By stacking 10 of the separation components (each with a throughput of 100L/min), one may increase throughput of a single device to 1000L/min of fluid sampled.

For example, assembling 10 of the 100L/min separation components in a single device will provide 1000L/min of fluid flow through the device. Operation of such device for five minutes ensures sampling of approximately 5000L of fluid. If the ambient fluid has one particle of particulate matter per liter of fluid (a relatively low concentration), 5000L will contain 5,000 particles. 90% separation into 5ml of collection fluid will yield approximately 4,500 particles, or a concentration of 900 particles per ml., easily within the detection limit of a number of commercially available detection units.

As illustrated in FIGURES 1 and 2, the apparatus 10 of the present invention comprises a plurality of individual projections 12 (butterfly shape) arranged in a plurality of different rows between plates 13. As arranged, the projections 12 are offset from one another such that fluid passageways 14 are provided between adjacent projections 16, 18. As illustrated in FIGURE 1, the projections may be arranged such that they classify particulate matter by size (as described in greater detail below) by providing a plurality of projections of a first size arranged in rows 20 to collect larger particles, a plurality of projections of a smaller size arranged in rows 22 to collect smaller particles, and a plurality of projections of a still smaller size 24 to collect even smaller particles. In the schematic embodiment of FIGURE 1, the device comprises a particulate collection portion 26 and a fan 28 (or other device, such as a vacuum pump) to move fluid 34 through the device. Each projection defines at least one aperture 30 designed to separate on the order of 80% of the particulate matter in that portion of the fluid stream to which that individual projection is exposed. The projections are designed to separate out from about 5% to about 40% of the air stream (fluid 34) exposed to an individual projection, and collectively, the aggregate of all the projections is designed to separate out about approximately 10% of the total fluid 34 entering the device. In the embodiment illustrated herein, a pair of apertures 30, 32 are provided for each projection 12. One of the apertures 30 is provided in an "upstream" side of the projection, while a second of the apertures 32 is provided on a "downstream" side of the projection. Collection efficiency may be improved by placing a plurality of vane projections 35 (crescent shape) at the fluid inlet, each vane projection 35 having a "downstream" aperture 30.

- As illustrated in FIGURE 3, adjacent projections 16, 18 define a fluid passageway 14 therebetween. A portion 36 of the fluid 34 is directed directly into each "upstream" aperture 30, while a second portion 38 of the fluid flow is directed into each "downstream" aperture 32. The concave upstream surface 40 of each projection 12 directs a portion of the fluid flow toward the downstream aperture 32 of adjacent projections, a minor portion of which enters the downstream aperture and a major portion of which is re-directed by the downstream concave surface 42 to the upstream surface 40 of an projection in the next downstream row of projections.

The rows of projections are preferably arranged such that axes of apertures and axes of passageways between adjacent projections (as measured in the direction of fluid flow through the device) are alternated such that the axis of passageways in an upstream row is aligned with an axis of apertures in the immediately downstream row (and vice versa), thereby insuring that the flow of the fluid from one row to the next (from upstream to downstream) is maximally directed toward the apertures which lead to the collection component.

The fluid flow through the device of FIGURE 1 separates entrained particulate matter with a "dead air" or quiescent zone created at the apex of each concave surface 40, 42 such that particulate matter above a predetermined mass settles out in these areas and is entrained in the minor portion of the fluid stream entering the apertures. The apertures direct the minor portion of the fluid stream, and the major portion of the particulate matter, to the collection point 26 for subsequent analysis.

It is to be understood that the fluid to be analyzed herein may be any fluid susceptible to having entrained particulate matter separated therefrom. While it is contemplated that the most likely use environment of the present invention will be detection of biological warfare agents in the form of aerosols in an ambient air stream, the device can be used in numerous other environments, such as collection of industrial pollutants in ambient air, collection of stack gases, sampling of air in buildings associated with "sick building" syndrome, collection of infectious or disease causing organisms in hospitals, and the collection of radioactive particles or toxic vapors. It is also contemplated that the apparatus may be used for the detection and collection of airborne particulate matter associated with illegal drugs or their precursors, and the collection of fluorescent particles in a liquid media used to test/calibrate this or similar devices.

Calculations of the efficiency of a device manufactured according to the schematic of FIGURE 3 indicate that the collection efficiency of a device with apertures having .02" diameters is:

$$d_{50} = (9 u W St_{k50} / C_c v p)^{1/2} \quad (1)$$

5 where:

d_{50} = diameter of particle collected with 50% efficiency (m)

u = gas viscosity of air = 1.81×10^{-5} kg/ms

W = width of micromachined virtual impactor aperture = 0.0005
(m)

10 v = gas velocity = 2.3 m/s based on (100L/min)(1 min/60 sec)
(total area of all inlets = 0.00008 m²)

p = density of bioaerosol particulate = 1000 kg/m³

St_{k50} = Stokes number at the 50% collection efficiency = 0.5

C_c = Cunningham Slip Correction factor = 1.

15 Therefore, the virtual impactor apparatus of the present invention has a calculated collection efficiency of greater than 50% for particulate matter having a diameter of greater than 0.5 microns. Applicant's experimental results support the conclusion that at least 80-90% of particulate matter having a diameter of 1 micron will be collected from the air stream sampled.

20 As illustrated in FIGURE 1, the device of the present invention may be constructed such that particulate matter is classified by size. The device illustrated schematically in FIGURE 1 will classify relatively smaller particles as the fluid stream progresses through the device, with the individual projections 12 and the apertures associated therewith becoming progressively smaller. A plurality of the separation components may be ganged together as illustrated in FIGURE 2 to increase the throughput through the device.

Refinements of the specific structure of the projections may include projections 52 (haystack shape) presenting a convex surface 54 to the advancing fluid stream 56, as illustrated in FIGURE 4. Within the convex surface 54 is a concave portion 58 having the aperture 60 therein. Preferably, the concave portion 58 will be located at the apex of the convex surface, and the aperture 60 will be located adjacent the apex of the concave portion of the projection comprising the virtual impact surface. In the embodiment of FIGURE 4, only the "upstream" side of the projection is a collector, unlike the double-sided collection of FIGURE 1. Therefore, only a single aperture need be provided in the embodiment of FIGURE 4. The dead air

space created in the concave portion 58 is capable of settling out most particulate matter directed therein.

A still further alternative embodiment is illustrated in FIGURE 5, wherein the projections 62 (tab shape) are provided with a high radius concave (or elliptical) virtual impact collector surface 64 facing upstream of the fluid flow. The surface 64 is provided with a concave portion 66 having an aperture 68 defined therein in the same manner as the projection 52 of FIGURE 4.

The micro-machined virtual impactor devices of the foregoing figures are merely the operative portion that actually separate the particulate matter from the fluid stream. In practice, the projections must be provided a fluid stream and a means of collecting particulate matter. As illustrated schematically in FIGURE 6, the particle separation plate 80 upon which the projections are machined is interfitted between a fluid inlet plate 82 and a particle collection plate 84.

In a preferred embodiment for the sampling of an air stream, the air inlet plate (FIGURE 7) is provided with a pair of air plenums 86, 88 to supply ambient air having a particulate of interest entrained therein to the device. The air plenums and an associated intake pump comprise a closed system to provide ambient air to the device under pressure. In the example of FIGURES 7, 8 and 9, air enters through plenum 88 (as at arrow 90) and proceeds along the length of plenum 88. Plenum 88 may be provided with a longitudinal slit, with a plurality of apertures, or any other convenient method of distributing the entering air stream to the device. As illustrated, the air stream exits the plenum and travels laterally through a plurality of grooves 92 to the separation component comprising the projections. The air flow laterally through grooves 92 is directed onto the projections (FIGURE 10), which are positioned in a pair of troughs 94, 96 in the plate 82. The major portion of the air stream (containing a minor portion of the particulate matter) that is not directed to the particle collection portion of the device exits the separation plate 80 through a second set of grooves 98, and thence into the plenum 86 where it is exhausted from the device, as at 100.

In the view of FIGURE 8, it can be seen that in the preferred embodiment, the air stream is directed through grooves 92, 98 as it enters and exits the devices. As set forth above, a major portion (for example, 90%) of the air stream entering at 92 will exit through grooves 98 and plenum 88.

While the separation plate 80 may be provided with any number of projections thereon, as illustrated in FIGURES 10, 11, four rows of projections are provided. As

- illustrated, the projections are arranged in two rows 110, 112 adapted to interfit with the troughs 94, 96 respectively. As noted above, each projection is provided with an aperture in the dead air zone, the aperture or channel 118, 120 being the "upper" terminus of a passageway 114, 116 provided through the thickness of the plate 80.
- 5 The passageways may be provided in any convenient form, but applicants have determined that the most acute angle possible is optimal. In practice, an angle of approximately 45° will suffice.
- The particle collection plate 84 (FIGURES 12, 13) is provided with a pair of channels 118, 120 milled into the plate that correspond with the passageways 114, 116 respectively. Each of the channels 118, 120 is interconnected with a fluid circulation means 122, 124 that provides fluid to the channels. While any convenient fluid may be circulated in the device, water may be the preferred fluid in many environments. The fluid circulation system is preferably a closed system, with a pump (not shown) to circulate the fluid through the channels as the minor portion of the air stream is directed into the fluid. Applicant has found that transfer of the particulate matter into the fluid is assisted if the fluid in channels 118, 120 wicks up a substantial portion of the length of passageways 114, 116 toward the apertures so that the positive pressure of the device forces the fluid entering the apertures to enter the water or other fluid in channels 118, 120.
- 10 20 The assembled device is illustrated in FIGURE 14. The three plates may be machined such that a simple film or layer of, for example, silicon gel, is sufficient to ensure air-tight integrity of the system. Or, raceways for gaskets may be machined in the plates to ensure integrity of the system. The three plates of the device are preferably secured to one another with screws (not shown in FIGURE 14).
- 15 25 30 The sectional view of the device of FIGURE 15 illustrates operation of the device. Ambient air containing particulate matter of interest enters the device at 88, and is directed through grooves 92, whereupon it is induced to flow toward the projections (micro-virtual impactors) 112 and 110. A major portion of the air stream is exhausted from the device through grooves 98 and plenum 86. A minor portion of the air stream is captured in the apertures 30, whereupon it is then directed through passageways 114, 116 to the fluid in channels 118, 120.
- An alternative embodiment of the present invention is illustrated schematically in FIGURE 16, which is a representation of a single device comprising a plurality of the projections described above. It is to be appreciated that a plurality of the separation plates 80 of FIGURE 16 may be assembled one above the other to provide

- greater throughput. In this device, an air stream 130 is directed into the inlet 140 of the device, whereupon it is directed in a spiral motion to the plurality of projections 142 (similar to those illustrated in FIGURE 4). The device of FIGURE 16 acts as a "virtual centrifuge", such that an initial separation occurs prior 5 to the particulate-laden gas stream reaching the projections, wherein relatively heavier particulate matter is separated toward the periphery of the device. As illustrated, the projections 142 of FIGURE 16 are arranged radially; it is to be understood that the orientation of the individual projections may be canted somewhat so that they face more into the advancing air stream. Each of the projections 142 10 defines an aperture 144, which is interconnected by passageway 146 to a central plenum 148. Each of the projections therefore feeds a minor portion of the air stream and a major portion of the particulate matter into the central plenum, from which analytical measurements may be made. The major portion of the air stream entering the device of FIGURE 16 must be exhausted through an exhaust port (not shown).
- 15 The devices of the present invention may be provided for continuous measurement (for example, of particulate matter in a gaseous exhaust stream), or for batch measurement (as, for example, in a personal detector worn by a combatant exposed to biological warfare agents). The intended use will determine many of the particular structural features of the device, such as its size, amount of fluid flow (both 20 air and liquid) through the device, and the like.

The analytical measurements made of, for example, the water/particulate stream of the device of FIGURE 15, or the air stream of FIGURE 16, may be made by any conventional analytical method. If in a continuous or batch mode, the sampled material may be distributed to one or more detectors. In either case, the analytical 25 method chosen will be predetermined by the particular needs of the users.

EXAMPLE:

A device as illustrated herein was constructed, with the individual projections 150 comprising a "tab" shape (FIGURE 17). The projections were provided with a slightly concave surface 152 facing upstream into the advancing air 30 stream 154. Apertures 156 were provided at the apex of the concave surface 152. The dimensions of the projections measured approximately 0.070" x 0.080" x 0.036" in height. Spacing between adjacent projections (both in the same row and in the next downstream row) was 0.020". The apertures measured 0.020" in diameter. The passageway from the aperture 156 to the fluid channel 118 was drilled at a 45° angle. 35 Two double rows of projections were provided (as illustrated in FIGURE 10) with

75 projections per double row (150 total projections). The remainder of the device was constructed as illustrated in FIGURE 6. The overall dimensions of the device were 4.5" (length) x 1.5" (width) x 0.5" (thickness). Approximately 5 ml of water was used as the liquid in the collection component, and throughput of air was between 5 100-200 L/min.

Nebulizers were used to generate an aerosol with fluorescent polystyrene latex (PSL) microspheres. Ten different particle sizes ranging from 0.2 to 5.5 micron diameters were tested. The PSL solution was atomized in a Retecl nebulizer with a 10 30 mL reservoir volume operating at 20 psig. Droplet sizes of 6 micron were produced. The flow rate of nebulizing air was between 2.5 and 3.0 L/min. Approximately 0.175 mL of the as-received PSL solution was added to 30 mL of deionized water in the nebulizer. An average of 45 mL of this solution was used during each 30-minute experiment.

A condensation nuclei counter and laser particle measuring system were used 15 to quantify particle size and concentration in the gaseous state, while a Coulter Counter and fluorometer were used for liquid phase samples. A Sequoia Turner model 450 fluorometer was used to measure fluorescence of liquid samples. Each standard solution was prepared by diluting 0.01 mL of as received 2.6% solids solution in 500 mL of deionized water.

20 RESULTS: When fluorescent particles were left in the device and photographed, a much greater fluorescence is observed in the passageway from the aperture 156 to the collection component than on the "virtual impact", or concave, surfaces 152, indicating the efficiency of separation of the device. The results of this initial device (FIGURE 18), which was not optimized for throughput or separation 25 efficiency, show that in excess of 80% of almost all particle sizes can be expected, and with optimization, this is expected to increase to an excess of 90%. The pressure drop measured across the device was approximately 4 inches of water.

A preferred and various alternative embodiments of the present invention have 30 been disclosed in detail. It will be appreciated by those of skill in this art that numerous improvements or modifications may be made to the invention without departing from the spirit and scope of the invention. Accordingly, the scope of the invention should be determined solely by reference to the scope of the appended claims.

While the preferred embodiment of the invention has been illustrated and described, it will be appreciated that various changes can be made therein without departing from the spirit and scope of the invention.

The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows:

1. An apparatus for separation and concentration of particulate matter from a fluid stream, comprising:

- (a) an inlet component through which the fluid stream enters the apparatus;
- (b) a separation component comprising a plurality of projections, each having a concave virtual impact surface;
- (c) each of said projections defining an aperture therethrough through which a minor portion of the fluid stream and a major portion of the particulate matter is directed;
- (d) a collection component to aggregate said minor portion of the fluid stream containing a major portion of the particulate matter; and
- (e) a fluid outlet to exhaust a major portion of the fluid stream containing a minor portion of the particulate matter.

2. The apparatus of Claim 1, wherein the aperture is provided at the apex of the concave virtual impact surface.

3. The apparatus of Claim 2, wherein each of the projections is provided with a pair of opposing concave surfaces, one of said concave surfaces in an upstream direction and one of said surfaces in a downstream direction.

4. The apparatus of Claim 2, wherein the plurality of projections are arranged in rows adjacent one another with a fluid passageway therebetween such that a minor portion of the fluid stream passes through said aperture and a major portion of the fluid stream passes therebetween in said passageways.

5. The apparatus of Claim 4, wherein the plurality of rows of projections are arranged adjacent one another such that fluid flow through a passageway between adjacent projections of an upstream row is directed to the aperture of the projection of a downstream row.

6. The apparatus of Claim 4, wherein an axis of apertures and passageways of alternating rows of projections are offset from each adjacent row,

such that an axis of passageways of alternating rows is aligned with an axis of apertures of immediately adjacent downstream rows.

7. The apparatus of Claim 2, wherein a leading edge surface of each of the projections is provided in a convex shape in the direction of the advancing fluid stream with said concave virtual impact surface at the apex of the convex shape.

8. The apparatus of Claim 2, wherein said projections are arranged in a spiral pattern with each of said apertures directed to a central plenum to aggregate the separated particulate matter in a single concentrated fluid stream.

9. The apparatus of Claim 1, wherein the fluid stream comprises an air stream.

10. The apparatus of Claim 9, wherein the particulate matter is an aerosol selected from the group consisting essentially of chemical warfare agents, biological warfare agents, infectious or disease causing organisms, industrial pollutants, radioactive particles, toxic vapors illegal drugs or their precursors.

11. The apparatus of Claim 1, wherein the fluid stream comprises a liquid stream.

12. The apparatus of Claim 1, wherein the collection component comprises a liquid through which the minor portion of the fluid stream with a major portion of the particulate matter therein is directed.

13. The apparatus of Claim 1, wherein laminar flow of particulate matter through the device reduces impacts between the particulate matter and the projections.

14. The apparatus of Claim 5, wherein said plurality of rows of projections are arranged with progressively smaller dimensions of the concave surfaces and apertures such that fluid flow increases through the device and successively smaller particulate matter is removed from the device as fluid flows therethrough.

15. The apparatus of Claim 1, wherein the apparatus is adapted to separate particulate matter from an air stream, and comprises:

- (a) an air intake plate;

- (b) a particle separation plate; and
- (c) a particle collection plate.

16. The apparatus of Claim 15, wherein the air intake plate comprises an air intake plenum and a plurality of grooves directing the air stream toward the projections in the separation plate.

17. The apparatus of Claim 16, wherein the particle separation plate comprises a plurality of projections arranged in longitudinal rows adapted to interfit with the longitudinal trough, the aperture of each projection interconnected with a channel in said particle collection plate through a passageway.

18. A micro-machined virtual impactor apparatus for separation of particulate matter from an air stream for detection and analysis, comprising:

- (a) an air inlet component through which a quantity of air with particulate matter therein enters the apparatus;
- (b) a separation component comprising a plurality of projections arranged in adjacent rows, said rows being arranged generally perpendicular to the direction of flow of the air stream, the individual projections of each row being offset from the individual projections of the adjacent downstream row;
- (c) each of said individual projections having
 - (i) a convex profile presented to the movement of the air stream,
 - (ii) a passageway between adjacent projections through which a major portion of the air stream is directed, and
 - (iii) a concave virtual impact surface at the apex of the convex profile, said virtual impact surface defining an aperture therein; and
- (d) a collection component to receive a minor portion of the air stream passing through said apertures.

19. The apparatus of Claim 18, wherein a minor portion of the air stream and a major portion of the particulate matter are directed into the apertures and thence to the collection component wherein the particulate matter is separated therefrom.

20. The apparatus of Claim 18, wherein a dead air space is created within the concave portion of the virtual impact surface, such that a reduction in air velocity

induces a major portion of the particulate matter to settle from the air stream and be collected adjacent the concave portion, and thereafter directed into the aperture with a minor portion of the air stream.

21. The apparatus of Claim 20, wherein the minor portion of the air stream entering the aperture comprises from about 5% to about 40% of the air stream exposed to an individual projection, and the major portion of the particulate matter entering the aperture comprises from about 60% to about 99% of the particulate matter in the air stream.

22. The apparatus of Claim 18, wherein said rows of projections are arranged in a spiral pattern and said apertures are all interconnected as said collection component in the form of a master plenum for collection of the particulate matter.

23. A method of separating particulate matter from a fluid stream, comprising the steps of:

(a) directing the air stream toward a plurality of individual virtual impact devices in the form of projections, each of said projections defining a concave virtual impact surface with an aperture disposed adjacent said concave virtual impact surface;

(b) creating a stagnant air zone within said concave virtual impact surface;

(c) directing a minor portion of said fluid stream into the concave portion of the virtual impact surface, and directing a major portion of the fluid stream around an individual virtual impact surface;

(d) permitting a major portion of the particulate matter to be entrained in the minor portion of the fluid stream directed adjacent the concave virtual impact surface, such that the particulate matter and the minor portion of the fluid stream enter the aperture; and

(e) collecting the minor portion of the fluid stream such that the particulate matter therein may be analyzed.

24. The method of Claim 23, further comprising the step of arranging the individual projections in adjacent rows arranged generally perpendicular to the flow of the fluid stream.

25. The method of Claim 23, further comprising the step of arranging the individual projections in a spiral arrangement, such that the spiral configuration acts as a virtual centrifuge to separate and relatively larger particulate matter from the fluid stream.

26. A virtual impactor, comprising:

(a) a plurality of first projections between a pair of plates, said plurality of first projections arranged in a row and spaced apart, defining a fluid passageway therebetween, each first projection having a width and a height, and an upstream side and a downstream side;

(b) a first aperture for each first projection extending from a first stagnation position on the upstream side through one of said pair of plates; and

(c) a plurality of second projections between said pair of plates, said plurality of second projections arranged in a second row and offset so that a second stagnation position and second aperture extending therefrom through said one of the pair of plates is substantially in fluid flow alignment with said fluid passageway.

27. The virtual impactor as recited in Claim 26, wherein said projections have a geometric shape selected from the group consisting of butterfly, haystack, tab, crescent, and combinations thereof.

28. The virtual impactor as recited in Claim 27 wherein said upstream side has a shape selected from the group consisting of convex or concave.

29. The virtual impactor as recited in Claim 26, further comprising a downstream aperture on each of said first projection.

1/10

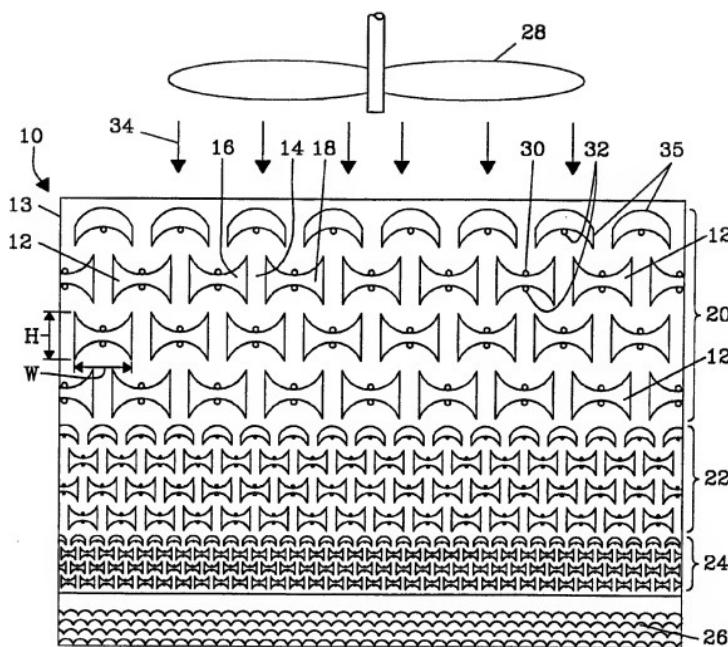


Fig. 1

2/10

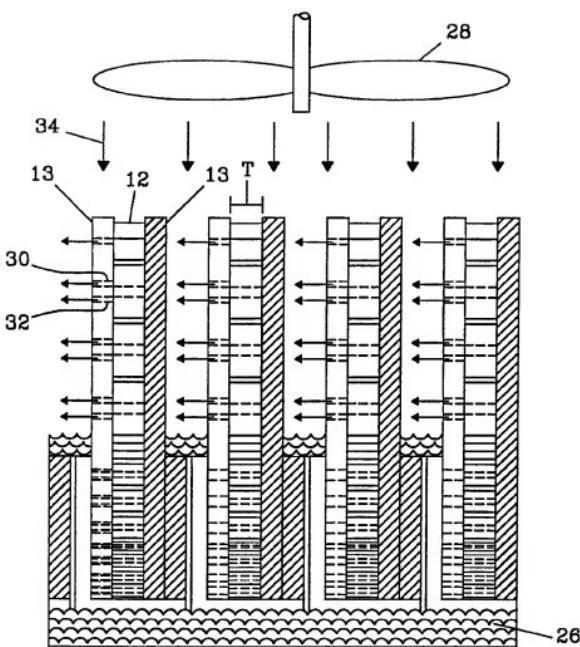


Fig. 2

3/10

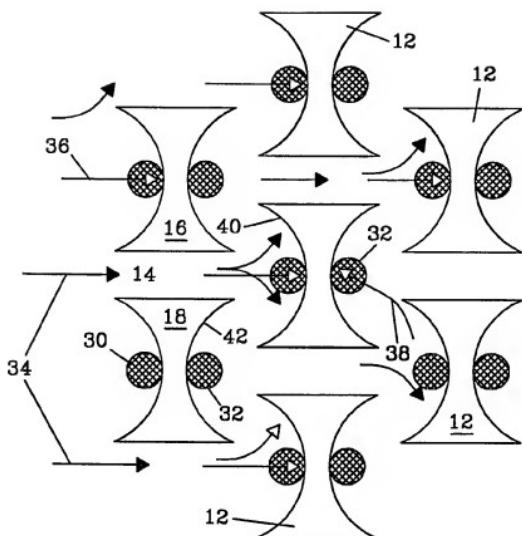


Fig. 3

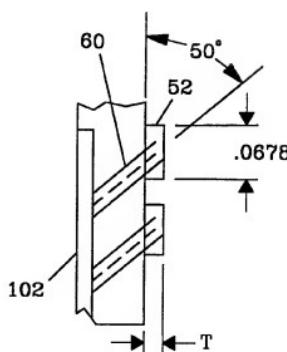


Fig. 4a

4/10

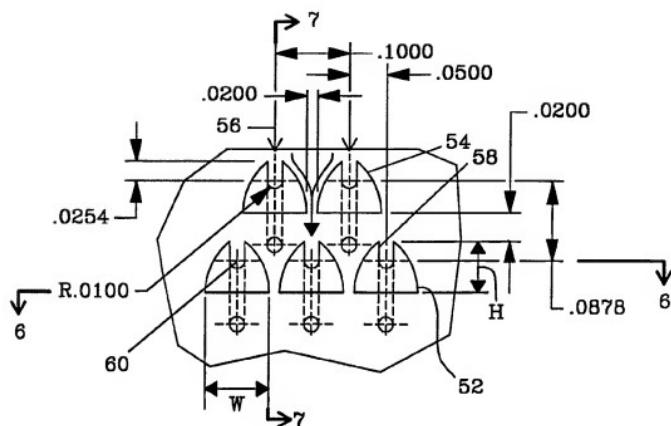


Fig. 4

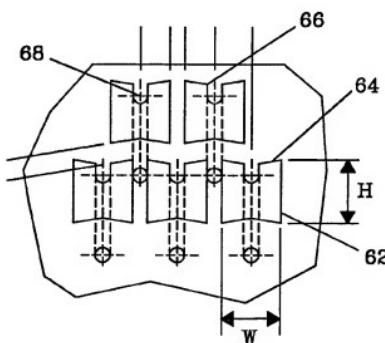
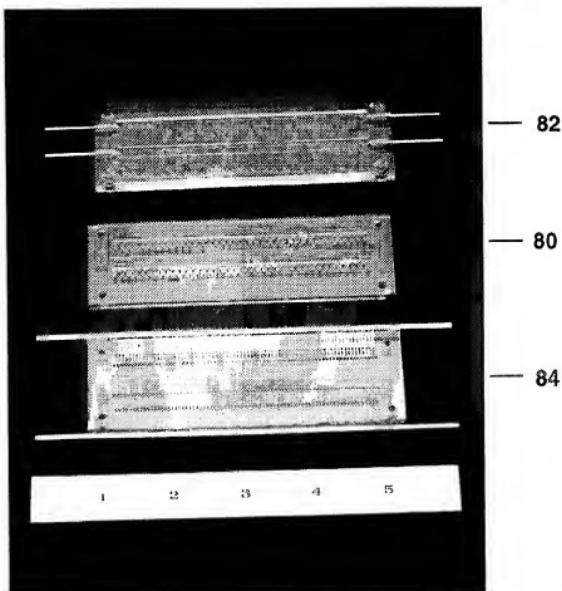
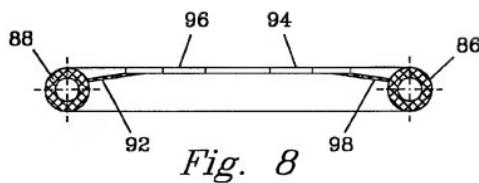
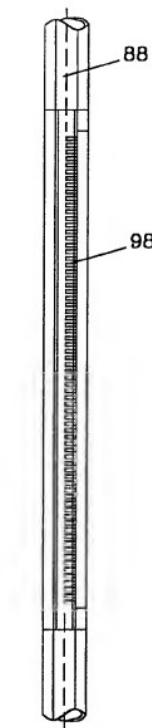
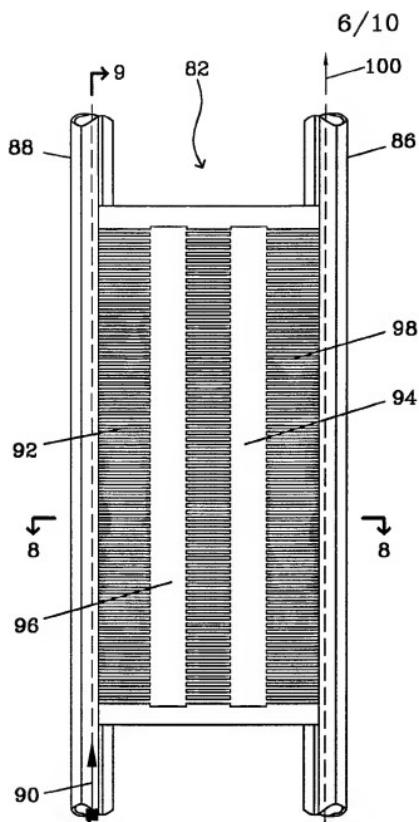


Fig. 5

5/10

**Fig. 6**



7/10

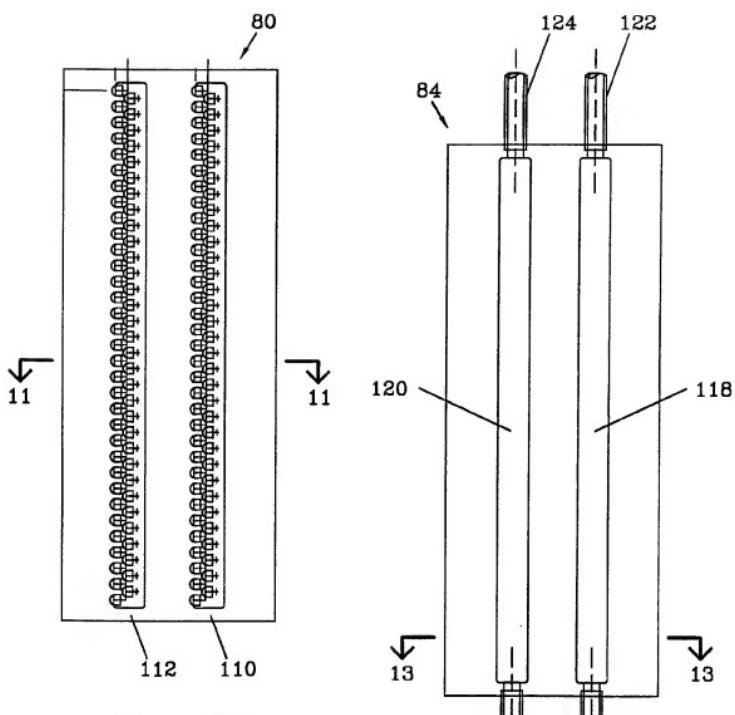


Fig. 10

Fig. 12

Fig. 11

8/10

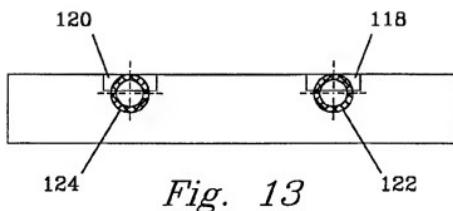


Fig. 13

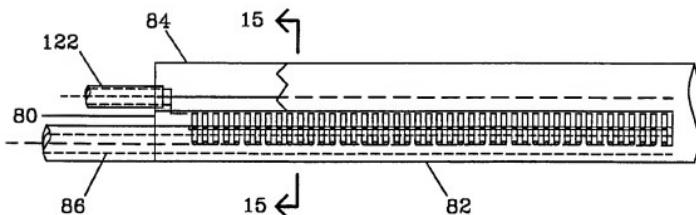


Fig. 14

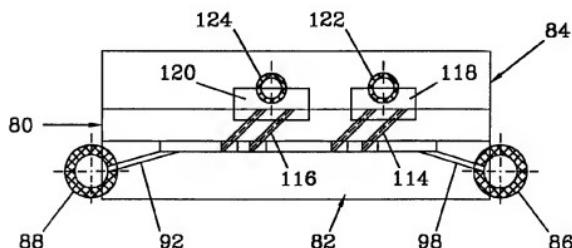


Fig. 15

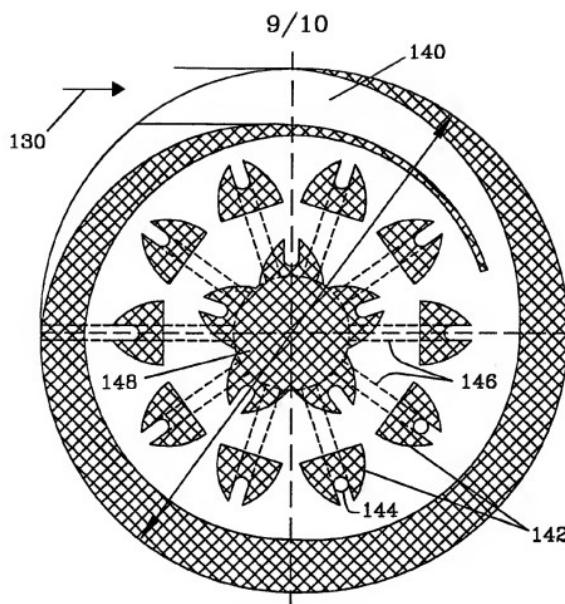


Fig. 16

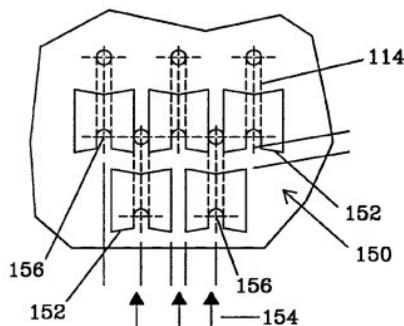


Fig. 17

10/10

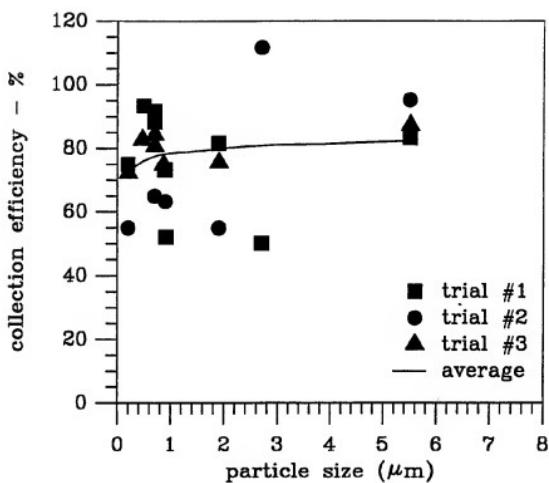


Fig. 18

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/12471

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 6 B01D45/08 B01D45/16 G01N1/24

According to International Patent Classification(IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 B01D G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 11499 H (VANCE RICHARD F) 7 November 1995	1,2,4-6, 9,10,12, 13,15, 26-29 18-20, 23,24
Y	see the whole document ---	
X	DE 13 10 913 U (MÜLLER) 6 September 1934 see the whole document ---	1-6,9, 10,26-29
Y	US 4 697 462 A (DAUBE JR BRUCE C ET AL) 6 October 1987 see column 1, line 34 - column 2, line 48 see column 3, line 30 - column 5, line 7; figures 1,1A ---	18-20, 23,24
	-/-	

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

* Special categories of cited documents

'A' document defining the general state of the art which is not considered to be of particular relevance

'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

'E' earlier document published on or after the international filing date or priority date

'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

'L' document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

'V' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

'O' document referring to an oral disclosure, use, exhibition or other means

'S' document member of the same patent family

'P' document published prior to the international filing date but later than the priority date claimed

Date of the actual completion of the international search

Date of mailing of the international search report

7 October 1998

14/10/1998

Name and mailing address of the ISA

 European Patent Office, P.B. 5018 Patentiaan 2
 NL - 2200 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.
 Fax: (+31-70) 340-3016

Authorized officer

Cubas Alcaraz, J

INTERNATIONAL SEARCH REPORT

Inte
ional Application No
PCT/US 98/12471

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 543 108 A (RENTSCHLER REVEN LUEFTUNGSSYST) 26 May 1993 see the whole document -----	1,2,4,5, 7,9,10, 12,13, 15, 18-20, 23,24, 26-29
A	US 3 932 151 A (LAU GEORGE W) 13 January 1976 see column 3, line 38 - column 5, line 55; figures 3,4 -----	1,3-6,14
A	DE 22 60 729 B (BAUSE ET AL.) 9 May 1974 see claims 1-4; figures 1-4 -----	1,11
A	FR 626 191 A (ATELIERS J. HANREZ) 31 August 1927 see the whole document -----	1-6

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/US 98/12471

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US H1499	H	07-11-1995	NONE		
DE 1310913	U		NONE		
US 4697462	A	06-10-1987	US	4732037 A	22-03-1988
EP 0543108	A	26-05-1993	DE	4131988 A	08-04-1993
			US	5318609 A	07-06-1994
			AT	123663 T	15-06-1995
			DE	59202534 D	20-07-1995
			ES	2075990 T	16-10-1995
US 3932151	A	13-01-1976	DE	2552326 A	26-05-1977
			GB	1529254 A	18-10-1978
			NL	7600278 A	15-07-1977
			AU	8612475 A	05-05-1977
			BE	835157 A	30-04-1976
DE 2260729	B	09-05-1974	NONE		
FR 626191	A	31-08-1927	NL	21912 C	
			US	1749920 A	11-03-1930